

PTO/SB/08A (09-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

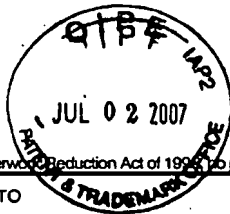
Sheet 1 of 2

Complete if Known

Application Number	09/863,722
Filing Date	5/23/2001
First Named Inventor	John R. Martin
Art Unit	3628
Examiner Name	Dixon, Thomas A.
Attorney Docket Number	10527US16

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/FJ/	1.	May 31, 2007 Deposition Transcript of David Neuhoﬀ, Ph.D.	
	2.	Neuhoﬀ Deposition - Defendants' Exhibit 39 - U.S. Patent No. 5,388,181	
	3.	Neuhoﬀ Deposition - Defendants' Exhibit 40 - Audiocomp Technical Announcement - Digital Audio compression Technology - August, 1990	
	4.	Neuhoﬀ Deposition - Defendants' Exhibit 49 - 1/30/1992 Memorandum re addendum to invention disclosure	
	5.	Neuhoﬀ Deposition - Defendants' Exhibit 220 - Contributions of Dave Neuhoﬀ to Audiocomp	
	6.	Neuhoﬀ Deposition - Defendants' Exhibit 221 - 7/21/1989 Meeting notes	
	7.	Neuhoﬀ Deposition - Defendants' Exhibit 226 - December, 1990 RePlay Magazine articles	
	8.	Neuhoﬀ Deposition - Defendants' Exhibit 235 - 7/31/1989 program re real time decoding of quantized songs	
	9.	June 13, 2007 Deposition Transcript of David Anderson, Ph.D.	
	10.	Anderson Deposition - Defendants' Exhibit 4 - 4/10/1989 Digital Broadcast Systems - Business Proposal Digital Jukebox	
	11.	Anderson Deposition - Defendants' Exhibit 6 - 5/4/1989 Business Program "A" What Business Are We In	
	12.	Anderson Deposition - Defendants' Exhibit 9 - 8/28/1989 Memorandum re meeting of August 21, 1989	
	13.	Anderson Deposition - Defendants' Exhibit 12 - 9/21/1989 Business Agenda For Dale Computer Meeting	
	14.	Anderson Deposition - Defendants' Exhibit 39 - U.S. Patent No. 5,388,181	
	15.	Anderson Deposition - Defendants' Exhibit 40 - Audiocomp Technical Announcement - Digital Audio compression Technology - August, 1990	
	16.	Anderson Deposition - Defendants' Exhibit 49 - 1/30/1992 Memorandum re addendum to invention disclosure	
	17.	Anderson Deposition - Defendants' Exhibit 54 - November, 1988 Joint Venture Between Digital Broadcast Systems And Computer/Sound Leisure	
	18.	Anderson Deposition - Defendants' Exhibit 96 - File History of Application Serial No. 07/530,547	
	19.	Anderson Deposition - Defendants' Exhibit 235 - 7/31/1989 program re real time decoding of quantized songs	
	20.	Anderson Deposition - Defendants' Exhibit 239 - Letter to David Anderson from Mike Lorenz	



PTO/SB/08A (09-06)

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/FJ/	1.	Defendants ECAST, Inc., Rock-Ola, and View's Supplemental Responses to Plaintiffs Rowe and Arachnid's First Set of Interrogatories (First Supplemental Response to Nos. 9-13, 18 and 19; Second Supplemental Response to No. 22) in Rowe v. Ecast, Case No. 1:06-cv-02703 in the Northern District of Illinois	
	2.	November 2, 2006 Deposition Transcript of Michael E. Leonard	
	3.	Leonard Deposition Exhibit 1 - Michael Leonard Subpoena	
	4.	Leonard Deposition Exhibit 2 - Audiotcomp Customer List	
	5.	Leonard Deposition Exhibit 3 - April 8, 1999 letter regarding NSM Digital Downloading Jukebox System	
	6.	Leonard Deposition Exhibit 4 - Digital Broadcast Systems Business Proposal Digital Jukebox dated April 10, 1989	
	7.	Leonard Deposition Exhibit 5 - Digital Broadcast Systems Business Proposal Digital Jukebox dated April 10, 1989 with handwritten notes	
	8.	Leonard Deposition Exhibit 6 - Business Program "A" dated May 4, 1989	
	9.	Leonard Deposition Exhibit 7 - Audicomp Business Plan draft 5/6/91 Rev. 4..0	
	10.	Leonard Deposition Exhibit 8 - Audicomp Business Plan	
	11.	Leonard Deposition Exhibit 9 - 8/28/89 Memorandum re Meeting of August 21, 1989,	
	12.	Leonard Deposition Exhibit 10 - 8/28/89 Memorandum re Notes from August 21, 1989 with attached letter dated 8/22/89 to John McGregor	
	13.	Leonard Deposition Exhibits 11 - Minutes of Organizational Meeting of Master Music Business, Ltd. Held on November 4, 1989	
	14.	Leonard Deposition Exhibit 12 - Business Agenda for Dale Computer Meeting dated 9/21/89	
	15.	Leonard Deposition Exhibit 13- Minutes of Organizational Meeting of Master Music Business, Ltd. Held on November 11, 1989	
	16.	Leonard Deposition Exhibit 14 - Dictated by not read Minutes of Organization Meeting of Master Music Business, Ltd. Held on November 18, 1989	
	17.	Leonard Deposition Exhibit 15- Dictated but not read Minutes of Organizational Meeting of Master Music Business, Ltd. Held on November 25, 1989	
	18.	Leonard Deposition Exhibit 16- Minutes of Organizational Meeting of Master Music Business, Ltd. Held on December 11, 1989	
	19.	Leonard Deposition Exhibit 17- Minutes of Organizational Meeting of Master Music Business, Ltd. Held on January 13, 1990	
	20.	Leonard Deposition Exhibit 18- Minutes of Organizational Meeting of Master Music Business, Ltd. Held on February 4, 1990	

/FJ/	21.	Leonard Deposition Exhibit 19 – Letter from David Anderson to Mike Lorenz confirming interest in advising on signal processing and encoding of music for computerized replay.	
	22.	Leonard Deposition Exhibit 20 – October 7, 1988 Progress Report – Compression of Music	
	23.	Leonard Deposition Exhibit 21 – May 4, 1989 Production Aspects	
	24.	Leonard Deposition Exhibit 22 – 7/27/89 Memo re Creative Concept Cash Flows	
	25.	Leonard Deposition Exhibit 23 – 12/6/89 letter re Organizational Agreement	
	26.	Leonard Deposition Exhibit 24 – Draft drawing of computer jukebox	
	27.	Leonard Deposition Exhibit 25 – Drawing of computer jukebox with notes	
	28.	Leonard Deposition Exhibit 26 – Micsean Corp Non Disclosure Agreement signed by Michael A. Krebsner	
	29.	Leonard Deposition Exhibit 27 – SDS Companies and Sean Sheedy Non Disclosure Agreement Signed by Mike Lorenz	
	30.	Leonard Deposition Exhibit 28 – Micsean Corp Non Disclosure Agreement signed by Michael Spieles	
	31.	Leonard Deposition Exhibit 29 – Agreement to Review Idea signed by Charles A Stewart	
	32.	Leonard Deposition Exhibit 30 – Agreement to Review Idea signed by Norman J Scherr	
	33.	Leonard Deposition Exhibit 31 – Agreement to Review Idea signed by John MacGregor	
	34.	Leonard Deposition Exhibit 32 – Non Disclosure Agreement signed by Michael Leonard and Ted Howell	
	35.	Leonard Deposition Exhibit 33 – Non Disclosure Agreement signed by Gary Davis and Michael Leonard	
	36.	Leonard Deposition Exhibit 34 – Non Disclosure Agreement signed by BJ Wade and Michael Leonard	
	37.	Leonard Deposition Exhibit 35 – Non Disclosure Agreement signed by Benjamin Darden and Michael Leonard	
	38.	Leonard Deposition Exhibit 36 – Non Disclosure Agreement signed by Rehett Merchant and Michael Leonard	
	39.	Leonard Deposition Exhibit 37 – Non Disclosure Agreement signed by Bob Smith and Michael Leonard	
V	40.	Leonard Deposition Exhibit 38 – Confidential Disclosure Agreement signed by Robert G. Weed	

Examiner Signature	/Fadey Jabr/	Date Considered	09/06/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Serial No. 09/863,722